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|  | **RESUME**  **BELL-GAM SOIBIM DANIEL**  **Current Position:** Legal/FinTech Advisor, Office of the Director General  **Organisation:**  Securities & Exchange Commission  Plot 272, Samuel A. Ademulegun Street  Central Business District  P.M.B. 315, Garki  Abuja.  E-mail: bellgamdaniel@yahoo.com  : sdbell-gam@sec.gov.ng  Mobile: +2348030912208  **CORE STRENGTHS**   |  | | --- | | * Leadership, strategy and people management skills. * Drafting, regulatory, and negotiating skills. * Communication and information technology skills. * Resourceful and analytical mind, * Goal driven, focused and a good team player. * Legal Research and writing. * Regulatory compliance. * Business law. * Licenses, petitions and waivers. * Efficient researcher. * Corporate transactions. * Corporate and business legal issues. * Case Law. |   **ENABLING SKILLS**   |  | | --- | | * Multitasking & Prioritising * Negotiation * Planning & Scheduling * Relationship Cultivation * Reporting & Monitoring |   **EDUCATION**   * Nigerian Law School, Victoria Island,   Lagos, Nigeria  **Barrister at Law (BL) 2nd Class Upper Division**   * Rivers State University of Science and Technology, Port-Harcourt, Rivers State Nigeria   **Bachelors of Law (LLb) 2nd Class Upper Division**   * Rivers State College of Arts and Science, Port-Harcourt, Rivers State, Nigeria   **Diploma in Law 2nd Class Upper Division**  **CERTIFICATIONS**   * Nigerian Bar Association (NBA)   **Member**   * Association of Professional Negotiators and Mediation (APNM)   **Member**   * Institute of Chartered Secretaries and Arbitrators of Nigeria (ICSAN)   **Student Member**  **COURSES ATTENDED**   * Professional Foundation Course on Alternative Dispute Resolution, Negotiation, Mediation & Hybrid Process by DCON Consulting. (2008), * Training on Proficiency Alternative Dispute Resolution by DCON Consulting. (2008), * Effective Oral and Writing Communication Skills by the Institute of Chartered Secretaries and Administrators of Nigeria (ICSAN). (2013), * Minute taking Training by P.D. Training (2014) * Fundamentals of Surveillance & Monitoring for Financial Regulators * Fixed Income and Derivatives by Didatic Consulting (2016) * International Institute for Securities Enforcement and Market Oversight at the US Securities and Exchange Commission (2017) * Fixed Income Market by DSE Advisory Ltd. (2018) * Singapore FinTech Festival organized by the Monetary Authority of Singapore (2018) * Legal Officers Training (2019) * DC FinTech Week (2019) * Tel Aviv FinTech Week (202) * Study Tour to the Israel Securities Authority (2020)   **COMMITTEE MEMBERSHIP**   * FinTech Roadmap Committee * FinTech Roadmap Implementation Committee * SEC FinTech Working Group * SEC FinTech Roadmap Implementation Group * SEC Online Forex Working Group * Committee for the Review of CAMA & TIA * Committee for the Review of the ISA 2007 * SEC Journal Editorial Board * SEC Annual Report Review Committee * IOSCO Committee on Enforcement and the Exchange of Information (Alternate)   **REFEREES**  **On demand** | **EXECUTIVE SUMMARY**  Professional and reliable Legal Advisor offering excellent analytical and problem solving skills. Diligent and task-focused individual possessing management competency with the ability to create impactful efficiencies. Expert at utilising interpersonal, communication and organizational skills; pays meticulous attention to detail.  Exceptionally fluent in legal terminology with desire to be part of a competitive, dynamic and result oriented organization, which offers opportunity for growth and recognizes individual contribution to the corporate objectives.  **PROFESSIONAL WORK EXPERIENCE**   * **Securities and Exchange Commission**, **Abuja**. Dec 2011-Date * **Director General’s Office (Jan 2016-Present)** * General legal advisory on various aspects of the Nigerian legal system such as Contract Law, Corporate law, Litigation and Labour issues, * Review of Legal and Enforcement matters to properly guide the Director General in taking a decision, * Member of a Core team for confidential investigation and enforcement in high profile cases, * Draft and Review of Contract Agreements, Memorandum of Understanding and Service Level Agreement between the SEC and other parties, * Conduct of in-depth research on topical issues and concepts of the Nigerian capital market, * Participate in policy reviews and articulation for the development of the Primary and Secondary Markets, * Implement securities market and monitor operations of regulated entities to ensure compliance laid policies and extant regulatory framework, * Continuous implementation of initiatives of the Nigerian capital market masterplan, * Liaising between the Commission and other regulatory agencies, * Liaising with the Legal department to proffer solutions to the Commission’s Legal issues, * Represent the Director General and the Commission at meetings of internal and external committees, * Provide support, and aid engagements and deliberations of the Capital market committee, * Coordination of External communication and relationship with Law Enforcement Agencies and other Agencies of Government, * Coordination of External communication and relationship with stakeholders in the Nigerian capital market, * Review and Approval of information disseminated to stakeholders in the Nigerian capital market, * Investigate complaints of poor service delivery, and issue appropriate directives to remedy the complaints, * Liaise with Agencies of Government to resolve complaints of investors in the Nigerian capital market, * Collaborate with the Ethics and Servicom Office to ensure staff of the Commission provide timely and quality services to its stakeholders, * Liaise with Law Enforcement Agencies to sanction capital market operators who provide service below the prescribed standard. * **Office of the Secretary to the Commission/Legal Department (Aug 2012-Jan 2016)** * Preparation of Legal Documents and processes for the Commission, * Provide Legal Opinions to the Commission on legal issues arising from day to day activities of the Commission, * Drafting and vetting of Legal Agreements between the Commission and other parties, * Review of civil and criminal litigations in which the Commission is a party, * Give interpretation to the provisions of the Investment and Securities Act 2007, the Rules and Regulations of the Commission as well as other legislations and judicial pronouncements, * Organize, coordinate and document meetings for the Board and Executive Management Committee of the Commission, * Render Legal Advice to the Board and Executive Management Committee of the Commission, * Legal searches at Lands Registry and Perfection of Mortgages, * Co-ordinate Board affairs and serve as secretary to other Board Committees, * Provide Technical Support to the Board of the Commission in developing standards for service delivery in the Nigerian capital market, * Guide the Board and Executive Management on issues of corporate governance, conflict of interest, disclosures & reporting, and compliance with legislations & directives of government, * Prescribe appropriate sanctions for staff who fail to deliver timely and quality service to stakeholders, * Provide Technical support to the Board by developing contents which guide discussions with stakeholders on innovative and novel issues in the Nigerian capital market, * Ensure compliance with Anti-Money Laundry/Combating the Financing of Terrorism Compliance, * Develop an efficient and diplomatic methods of communicating unfavourable policy issues to stakeholders in an acceptable manner. * **Registration, Exchanges and Market Infrastructure Department (Dec 2011-Aug 2012)** * Co-ordination and Renewal of Registration of Capital Market operators for participation in the Nigerian Capital Market, * Co-ordinate and scrutinize the Application and Registration of Sponsored Individuals for participation in the Nigerian Capital Market, * Regulate the activities of Capital Market operators to Ensure Compliance with the SEC Rules and the Investment and Securities Act, * Enter Correspondence with other departments within the Commission to provide adequate information on Capital Market Operators for Inspection and Enforcement actions, * Liaise with other government agencies on behalf of the Commission to obtain every necessary information associated with a market participant, * Investigate irregularities associated with the registration status of a Capital Market Operator, * Maintain and Regularly Update the Data Base of Capital market Operators, * Provide guidance on licensing and registration issues to applicants seeking entrance into the Nigerian capital market, * Liaise with relevant Offices to address challenges encountered by Investors in the Nigerian Capital Market, * Assess market conduct of capital market intermediaries, * Provide Clarity by interpreting the provisions of extant securities laws to capital market operators and other users of providers of services in the Nigerian capital market. * **Equelex Associates, Port-Harcourt. (Legal Consultants) February 2010-Dec 2011** * Conduct researches, * Preparation of Legal Documents and Representation of clients in court, * organize activities in the firm, * Teaching and managing of Law School students on chamber attachment at the firm as well as making representations in court for our Clients. * **J.D. AMIN Library, University of Maiduguri (NYSC) March 2009- February 2010** * Direct and guide Library users in the course of their research, * Identify necessary study materials to satisfy the information need of library users, * Identify and resolve challenges encountered by Library users, * Ensure compliance to Library Decorum, rules and regulations, * Discipline Library users who breach laid down regulations, * Liaison officer between the School Authorities and the Library users. * **Legal Aid Scheme, Maiduguri (Part time Attachment) May 2009-February 2010** * Negotiate with officials of the Nigerian Prisons Service for the release of Inmates with minor offences, * Co-ordinate and organize the services of Legal Practitioners to ensure they offer free legal services to the less privileged in the Society, * Schedule Human Rights sensitization programs with the support of State Governments, * Coordinate publicity of the activities and achievements of the Legal Aid Scheme to the general public. * **Equelex Associates, Port-Harcourt. (Legal Consultants) November 2008- March 2009** * Preparation of legal documents, * Represent clients in courts and meetings, * Oversee activities in the firm, * Liaison officer for our clients in disputes involving both Government and its agencies and other corporate Organizations. |  |